

**City of Los Angeles
Responsible Banking Investment Monitoring Program
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1st of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

Contact Information:

PNC Capital Markets LLC			
Name of Financial Institution			
340 Madison Avenue	New York	NY	10173
Street Address	City	State	Zip Code
Eric Golynsky, Director			
Contact Person Name and Title			
212-752-6103	eric.golynsky@pnc.com		
Telephone No.	Email Address		

Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?
Yes No If yes, please complete the attached form.

2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?
Yes No
 - a. How many scholarships were awarded? 0
 - b. What was the total value of the awarded scholarships? 0

3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?
Yes No If yes, please provide a copy of your policies.

CERTIFICATION UNDER PENALTY OR PERJURY

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Eric Golynsky, Director		6/22/2016
Print Name, Title	Signature	Date

PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO CAO.DEBT@LACITY.ORG.

**Office of the City Administrative Officer
200 North Main St. Room 1500
Los Angeles, CA 90012
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Affordable Living for the Aging	Charitable Sponsorship	\$3,500.00
University of Southern California	Charitable Sponsorship	\$25,000.00
University of Southern California	General Grant	\$25,000.00
California Science Center	General Grant	\$3,590.00
Association of Latino Professionals	General Grant	\$31,500.00

PNC Supplier Diversity Program



At PNC, we know that achieving more in our community begins with the people who belong to it. As one of the nation's leading financial services organizations, PNC is committed to supporting and providing business opportunities to diverse suppliers.

Our Supplier Diversity Program focuses on offering qualified minority, women-owned, veteran, and LGBT, as well as small and disadvantaged, businesses the opportunity to provide products and services to our organization.

Through our diverse supply base, PNC can leverage the varying perspectives, unique skills, and innovative thinking that are needed to enhance our businesses' success. By doing this, in turn, we will better serve our customers and employees, as well as promote economic growth in the communities.



Learn more about our Supplier Diversity Program at pnc.com/supplierdiversity.

Register today in PNC's Supplier Diversity Registration Portal

By registering your business, your company profile will be maintained in our database and reviewed as sourcing opportunities arise.



- Go to PNC's Supplier Diversity Program website at pnc.com/supplierdiversity.
- Click on "How to Register as a Supplier" and follow the directions.
- Enter all required information and click the "Register" button to complete your business profile submission.
- An email will be sent to you confirming your successful registration.

If you have any comments or questions, please contact PNC Supplier Diversity at SupplierDiversity@pnc.com.

Supplier Diversity Program

Program Scope

Aligning with the diversity of our employee, community and customer base, PNC's Supplier Diversity Program includes businesses that are at least 51 percent owned, operated and controlled by minorities, women, veterans, lesbian, gay, bi-sexual and transgender individuals, as well as disadvantaged and small business enterprises.

Minority group members are defined as African American, Hispanic American, Native American, Asian-Pacific American, Asian-Indian American or Alaskan Natives.

Program Requirements

1. Ensure that your company is within the scope of the PNC Supplier Diversity Program as stated above.
2. Confirm that your company's goods and services fall within one or more of the following categories:
 - Banking/Marketing Services
 - Corporate Services
 - Employee-Related Services
 - Lending/Data Services
 - Operation Services
 - Realty Services
 - Technology
3. Register your company in PNC's supplier registration portal following the steps outlined on page one. Once registration is complete, your company profile will be maintained within our portal and you will be contacted if and when a sourcing opportunity that aligns with your profile becomes available. Your registration will allow PNC to remain in contact with you and to notify you of events or development opportunities that may be of interest to you and your company.

Partner Organizations

Our partnerships with national business, civic and trade organizations strengthen our efforts and yield best practices for identifying and developing new relationships. We are proud to be members of the following organizations:

- National Minority Supplier Development Council (**NMSDC**)
- Women's Business Enterprise National Council (**WBENC**)
- National Veteran-Owned Business Association (**NaVOBA**)
- National Gay & Lesbian Chamber of Commerce (**NGLCC**)
- US Hispanic Chamber of Commerce (**USHCC**)
- US Pan Asian Chamber of Commerce (**USPACC**)
- United States Business Leaders Network (**USBLN**)
- Financial Services Roundtable – Supplier Diversity (**FSRSD**)

Awards/Recognition

PNC's supplier diversity efforts have been recognized by several leading industry organizations:

- "2016 Best of the Best" Winner, *Black EOE Journal*
- "2015 Best of the Best" Winner, *Hispanic Network*
- 2015 WE USA Corporations of the Year
- Best Places to Work for LGBT Equality, Human Rights Campaign, 2015
- 2015 Military Friendly Supplier Diversity Programs, NaVOBA
- MBN USA magazine Top 101 Corporations for Supplier Diversity
- Top 10 Regional Companies for Diversity, Diversity Inc.
- Top 100 Veteran-Friendly Companies, *U.S. Veterans magazine*

Contact Us

PNC Supplier Diversity

The PNC Financial Services Group, Inc.
116 Allegheny Center Mall
Pittsburgh, PA 15212
supplierdiversity@pnc.com



"Diversity and inclusion are increasingly recognized as important principles of good management and have long been among our core values at PNC. Our diversity helps us to attract top talent and reflect the communities we serve. It leads to deeper relationships with our customers and suppliers, which fuels our growth. Perhaps most important, it positions us better for ongoing success as changing demographics continue to shape our country. For all of these reasons, we will continue to demonstrate our commitment to a vision with diversity and inclusion at its core. Our future depends on it."

William S. Demchak, Chairman, President and Chief Executive Officer